

Wealth Management Statement of Work (SOW) Sample

Date: _____

Client Name: _____

Prepared By: _____

1. Purpose

This Statement of Work (SOW) outlines the scope, deliverables, and terms of the Wealth Management services to be provided to the Client.

2. Scope of Services

- Investment advisory and portfolio management
- Financial planning and goal setting
- Wealth preservation and tax efficiency strategies
- Estate and succession planning support
- Regular portfolio review meetings

3. Deliverables

1. Comprehensive financial plan report
2. Customized investment portfolio
3. Quarterly performance summaries
4. Annual review and strategic recommendations

4. Timeline

Milestone	Expected Completion
Initial assessment & data gathering	2 weeks from SOW start
Financial plan delivery	4 weeks from SOW start
Portfolio implementation	6 weeks from SOW start
Ongoing reviews	Quarterly

5. Client Responsibilities

- Provide accurate financial and personal information
- Notify provider of major life changes
- Review and approve deliverables in a timely manner

6. Fees

Fees for services will be as outlined in the accompanying agreement. Invoices will be issued based on the agreed schedule.

7. Terms & Conditions

- This SOW is governed by the terms in the Master Services Agreement.
- Either party may terminate this SOW with written notice as per agreement.
- Confidentiality and compliance obligations apply to all parties.

8. Signatures

Client Signature

Date: _____

Provider Signature

Date: _____