

Executive Summary Outline

Operational Risk Audit

1. Purpose and Scope

- Objective of the audit
- Covered departments or business units
- Key risks and areas reviewed

2. Summary of Findings

- Main operational risk exposures identified
- Notable strengths and good practices
- Key weaknesses or gaps detected

3. Key Recommendations

- Priority actions to address significant risks
- Suggested process or policy improvements
- Opportunities for strengthening controls

4. Management Response Overview

- General agreement or disagreement with findings
- Commitments to address recommendations

5. Overall Conclusion

- Summary statement on the overall operational risk posture
- Level of assurance provided by the audit

6. Next Steps

- Follow-up actions and timelines
- Future audit considerations