

# Regulatory Compliance Cybersecurity Assessment for Finance

## 1. Assessment Overview

Assessment Date	
Assessor	
Organization	
Location	
Scope	

## 2. Applicable Regulations & Standards

- GLBA (Gramm-Leach-Bliley Act)
- SOX (Sarbanes-Oxley Act)
- PCI DSS (Payment Card Industry Data Security Standard)
- FFIEC Cybersecurity Assessment Tool
- Other:

## 3. Key Cybersecurity Controls

Control Area	Status	Comments
Access Control & Authentication		
Data Encryption		
Network Security		
Incident Response		
Vendor Management		
Employee Training & Awareness		
Audit & Monitoring		

## 4. Findings Summary

Finding	Risk Level	Recommendation

## 5. Action Plan

Action Item	Responsible	Deadline	Status

## 6. Sign-Off

---

Name & Title

---

Date