

# Health Information Exchange (HIE) Compliance Review Checklist

Organization Name:

Review Date:

## Checklist

| ✓                        | Item  | Findings / Notes |
|--------------------------|---|------------------|
| <input type="checkbox"/> | Patient consent procedures are documented and implemented.          |                  |
| <input type="checkbox"/> | Policies align with state and federal privacy laws (e.g., HIPAA).   |                  |
| <input type="checkbox"/> | Data encryption is applied in transit and at rest.                  |                  |
| <input type="checkbox"/> | Audit trails and access logs are maintained and reviewed regularly. |                  |
| <input type="checkbox"/> | Role-based access controls are enforced for all users.              |                  |
| <input type="checkbox"/> | Data sharing agreements are current and reviewed periodically.      |                  |
| <input type="checkbox"/> | Incident response plan is in place and tested.                      |                  |
| <input type="checkbox"/> | All staff have received HIE compliance training.                    |                  |
| <input type="checkbox"/> | Data quality and integrity controls are implemented.                |                  |
| <input type="checkbox"/> | External vendor compliance is assessed and documented.              |                  |

General Comments or Action Items: