

# Health Information Exchange (HIE) Compliance Review Checklist

Organization Name:

Review Date:

## Checklist

✓	Item	Findings / Notes
<input type="checkbox"/>	Patient consent procedures are documented and implemented.	
<input type="checkbox"/>	Policies align with state and federal privacy laws (e.g., HIPAA).	
<input type="checkbox"/>	Data encryption is applied in transit and at rest.	
<input type="checkbox"/>	Audit trails and access logs are maintained and reviewed regularly.	
<input type="checkbox"/>	Role-based access controls are enforced for all users.	
<input type="checkbox"/>	Data sharing agreements are current and reviewed periodically.	
<input type="checkbox"/>	Incident response plan is in place and tested.	
<input type="checkbox"/>	All staff have received HIE compliance training.	
<input type="checkbox"/>	Data quality and integrity controls are implemented.	
<input type="checkbox"/>	External vendor compliance is assessed and documented.	

General Comments or Action Items: