

Healthcare Technology Regulatory Compliance Audit Template

Audit Metadata

Audit Title	<input type="text" value="Enter audit title"/>	Date	<input type="text"/>
Auditor(s)	<input type="text" value="Enter names"/>	Department	<input type="text" value="Department name"/>
Location	<input type="text" value="Location"/>	System(s) Audited	<input type="text" value="EHR, HIS, devices, etc."/>

Scope

Regulatory Frameworks Assessed

Framework/Standard	Applicable (Yes/No)	Notes
HIPAA	<input type="text" value="Yes / No"/>	<input type="text"/>
HITECH	<input type="text" value="Yes / No"/>	<input type="text"/>
GDPR	<input type="text" value="Yes / No"/>	<input type="text"/>
Other (specify)	<input type="text"/>	<input type="text"/>

Compliance Checklist

Requirement	Status	Findings / Observations	Action Required
Access Controls Implemented	<input type="text" value="Compliant / Non-compliant"/>	<input type="text"/>	<input type="text"/>
Data Encryption at Rest	<input type="text"/>	<input type="text"/>	<input type="text"/>
Data Encryption in Transit	<input type="text"/>	<input type="text"/>	<input type="text"/>

User Authentication and Authorization			
Audit Trail Enabled			
Incident Response Plan			

Key Issues & Recommendations

Summarize key compliance gaps and recommendations...

Auditor Comments

General comments or notes...