

Healthcare Technology Regulatory Compliance Audit Template

Audit Metadata

Audit Title	Enter audit title	Date	
Auditor(s)	Enter names	Department	Department name
Location	Location	System(s) Audited	EHR, HIS, devices, etc.

Scope

Define the scope of this audit...

Regulatory Frameworks Assessed

Framework/Standard	Applicable (Yes/No)	Notes
HIPAA	Yes / No	
HITECH	Yes / No	
GDPR	Yes / No	
Other (specify)		

Compliance Checklist

Requirement	Status	Findings / Observations	Action Required
Access Controls Implemented	Compliant / Non-compliant		
Data Encryption at Rest			
Data Encryption in Transit			

User Authentication and Authorization			
Audit Trail Enabled			
Incident Response Plan			

Key Issues & Recommendations

Summarize key compliance gaps and recommendations...

Auditor Comments

General comments or notes...