

FinTech Compliance Module Code Inspection Template

Project Details

Project Name	
Module Name	
Inspected By	
Date	

Code Inspection Checklist

Compliance Item	Status	Notes
Data Privacy (PII/PCI/DSS Handling)		
Regulatory Logging & Audit Trails		
User Authentication & Authorization		
Secure API Handling		
Anti-Money Laundering (AML) Triggers		
Error Handling & Incident Reporting		
Encryption at Rest & in Transit		
Transaction Validation & Limits		
Code Documentation & Commenting		
Third-Party Library Compliance		

Findings and Recommendations

Action Items

Item	Responsible	Deadline	Status

Inspector Signature

